

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S TRADEMARKS INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.: MS146953.1	Serial No.: 09/894,653
	Applicant: Daniel Dedu-Constantin, et al.	
	Filing Date: June 27, 2001	Group: 285 RECEIVED

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

JAN 07 2002

Examiner Initial		Document Number							Date	Name	Class	Subclass	Filing Date if Appropriate
YJC	AA	6	1	2	8	6	1	9	10/03/00	Fogarasi, et al.	707	102	4/30/1998
	AB	6	1	7	3	4	3	9	1/09/2001	Carlson, et al.	717	1	9/24/1998
	AC	6	1	8	5	5	7	2	2/6/2001	Blackman, et al.	707	103	5/7/1998
	AD	6	2	3	3	5	8	6	5/15/2001	Chang, et al.	707	103	4/1/1998
	AE	6	2	3	6	9	8	8	5/22/2001	Aldred	707	3	9/3/1998
	AF	6	2	6	3	3	4	2	7/17/2001	Chang, et al.	707	103	4/1/1998
	AG	6	2	6	3	3	2	8	7/17/2001	Coden, et al.	707	3	4/9/1999

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number							Date	Country	Class	Subclass	Translation	
													Yes	No
	AH													

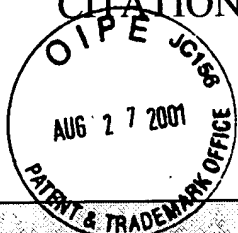
OTHER ART(Including Author, Title, Date, Pertinent Pages, etc.)

	AI		
	AJ		
	AK		

EXAMINER: <i>He An Chen</i>	DATE CONSIDERED <i>10/06/03</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50277-1783		SERIAL NO. 09/894,635	
				APPLICANT: Roger J. Bamford, et al.			
				FILING DATE June 27, 2001		GROUP NYA	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
JHC	5,276,835	1/4/94	Mohan et al.	395	425	12/14/90	
↓	5,280,611	1/18/94	Mohan et al.	395	600	11/8/91	
↓	5,287,473	2/15/94	Mohan et al.	395	425	12/14/90	
↓	5,327,556	7/5/94	Mohan et al.	395	600	5/11/93	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
JHC	Abstracts of Some of C. Mohan's Papers and Patents, Updated on 13 June 2001, www.almaden.ibm.com/u/mohan/aries_papers.html , (Pgs. 1-38), accessed July 24, 2001.						
	C. Mohan, et al., "A Case Study of Problems in Migrating to Distributed Computing: Data Base Recovery Using Multiple Logs in the Shared Disks Environment", IBM Research Report RJ7343, Database Technology Institute, IBM Almaden Research Center, March 1990, 20 pages.						
	Recovery and Coherency-Control Protocols for Fast Intersystem Page Transfer and Fine-Granularity Locking in a Shared Disks Transaction Environment, C. Mohan and Inderpal Narang, Proceedings of the 17 th International Conference on Very Large Data Bases, Barcelona, September, 1991 (Pgs. 193-207).						
	Research Report RJ8017 entitled "Recovery and Coherency-Control Products for Fast Intersystem Page Transfer and Fine-Granularity Locking in a Shared Disks Transaction Environment", C. Mohan and Inderpal Narang, Data Base Technology Institute, IBM Almaden Research Center, March 15, 1991 (31pgs).						
	C. Mohan, et al., "Solutions to Hot Spot Problems in a Shared Disks Transaction Environment", IBM Research Report RJ8281, Data Base Technology Institute, IBM Almaden Research Center, August 1991, 25 pages.						
	Mohan, C., et al., "Efficient Locking and Caching of Data in the Multisystem Shared Disks Transaction Environment", Proc. 3 rd International Conference on Extending Database Technology, Vienna, March 1992, pp. 453-468.						
	C. Mohan, et al., "Efficient Locking and Caching of Data in the Multisystem Shared Disks Transaction Environment", Data Base Technology Institute, IBM Almaden Research Center, RJ 8301, August 1991, 20 pages.						
	C. Mohan, et al., "Data Base Recovery in Shared Disks and Client-Server Architectures", Proc. 12 th International Conference on Distributed Computing Systems, Yokohama, June 1992, pp. 310-317.						
	C. Mohan, et al., "Data Base Recovery in Shared Disks and Client-Server Architectures", IBM Research Report RJ8685, Data Base Technology Institute, IBM Almaden Research Center, March 1992, 20 pages.						
↓	J. W. Josten, et al., "DB2's Use of the Coupling Facility for Data Sharing", IBM Systems Journal, Volume 36, Number 2, 1997, 25 pages.						
EXAMINER <i>Je In Chen</i>				DATE CONSIDERED <i>10/07/03</i>			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.